



LINTON VILLAGE COLLEGE

EXAM INTERNAL APPEALS POLICY

THIS POLICY WAS APPROVED:	M A R C H 2 O 2 2
POLICY VERSION:	VERSION 1.1
THIS POLICY WILL BE REVIEWED:	SEPTEMBER 2023
MEMBER OF STAFF WITH RESPONSIBILITY FOR REVIEW:	PAULINE KENNING, EXAMINATIONS OFFICER
THIS POLICY WAS APPROVED BY:	CAMERON FEHR, ASSISTANT PRINCIPAL
THIS POLICY WAS DISTRIBUTED TO:	

Key staff involved in exam internal appeals planning:

Role	Name(s)	
Head of centre	Helena Marsh	
Senior leader	Cameron Fehr	
Exams officer	Pauline Kenning	

This plan is reviewed and updated annually to ensure that exam contingency planning at Linton Village College is managed in accordance with current requirements and regulations.

1. Appeals against internal assessment decisions (centre assessed marks)

This procedure confirms Linton Village College's compliance with JCQ's *General Regulations for Approved Centres 2019-2020*, section 5.7 that the centre will:

- have in place and be available for inspection purposes, a written internal appeals procedure relating to internal assessment decisions and to ensure that details of this procedure are communicated, made widely available and accessible to all candidates
- before submitting marks to the awarding body inform candidates of their centre assessed marks and allow a candidate to request a review of the centre's marking

Certain components of GCSE qualifications (and GCSE non-examination assessments) that contribute to the final grade of the qualification are internally assessed (marked) by the subject teacher. The marks awarded (the internal assessment decisions) are then submitted by the deadline set by the awarding body for external moderation.

Deadlines for the submission of GCSE marks (2023 exam series)

Date	Qualification	Subjects	Details
10/01/2023	OCR: Cambridge Nationals	Enterprise & Marketing, Health & Social Care, Sport Science.	Last date for OCR and the moderator to receive internally assessed marks for January 2023
05/05/2023	GCSE: AQA	Dance, Engineering, DT	Last date for AQA and the moderator to receive internally assessed marks for May/June 2023
12/05/2023	GCSE: OCR	Drama, Food, Music, PE	Last date for OCR and the moderator to receive internally assessed marks for May/June 2023
12/05/2023	OCR: Cambridge Nationals	Enterprise & Marketing, Health & Social Care, Sport Science.	Last date for OCR and the moderator to receive internally assessed marks for May/June 2023

26/05/2023 GCSE: Edexcel	Fine Art	Last date for OCR and the moderator to receive internally assessed marks for May/June 2023
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Linton Village College is committed to ensuring that whenever its staff mark candidates' work this is done fairly, consistently and in accordance with the awarding body's specification and subject-specific associated documents.

The College ensures that all centre staff follow a robust *non-examination assessment policy* (for the management of GCSE non-examination assessments). This policy details all procedures relating to non-examination assessments, including the marking and quality assurance processes which relevant teaching staff are required to follow.

Candidates' work will be marked by staff who have appropriate knowledge, understanding and skill, and who have been trained in this activity. The College is committed to ensuring that work produced by candidates is authenticated in line with the requirements of the awarding body. Where a number of subject teachers are involved in marking candidates' work, internal moderation and standardisation will ensure consistency of marking.

On being informed of their centre assessed marks, if a candidate believes that the above procedures where not followed in relation to the marking of their work, or that the assessor has not properly applied the mark scheme to their marking, then they may make use of the appeals procedure below to consider whether to request a review of the centre's marking.

The College will

- 1. ensure that candidates are informed of their centre assessed marks so that they may request a review of the centre's marking before marks are submitted to the awarding body.
- inform candidates that they may request copies of materials (for example, a copy of their marked work, the relevant specification, the mark scheme and any other associated subjectspecific documents) to assist them in considering whether to request a review of the centre's marking of the assessment.
- 3. having received a request for copies of materials, promptly make them available to the candidate within 3 calendar days.
- 4. provide candidates with sufficient time to review copies of materials and reach a decision.
- 5. provide a clear deadline for candidates to submit a request for a review of the centre's marking. Requests will not be accepted after this deadline. Requests must be made in writing within 3 calendar days of receiving copies of the requested materials by completing the internal appeals form.
- 6. allow 7 calendar days for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline.
- 7. ensure that the review of marking is carried out by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate and has no personal interest in the review.
- 8. instruct the reviewer to ensure that the candidate's mark is consistent with the standard set by the centre.
- 9. inform the candidate in writing of the outcome of the review of the centre's marking.

The outcome of the review of the centre's marking will be made known to the head of centre. A written record of the review will be kept and made available to the awarding body upon request.

The moderation process carried out by the awarding bodies may result in a mark change, either upwards or downwards, even after an internal review. The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is line with national standards. The mark submitted to the awarding body is subject to change and should therefore be considered provisional. This process is outside the control of the College and is not covered by this procedure.

2. Appeals against the centre's decision not to support a clerical check, a review of marking, a review of moderation or an appeal

This procedure confirms Linton Village College's compliance with JCQ's *General Regulations for Approved Centres 2019-2020*, section 5.13 that the centre will:

 have available for inspection purposes and draw to the attention of candidates and their parents/carers, a written internal appeals procedure to manage disputes when a candidate disagrees with a centre decision not to support a clerical re-check, a review of marking, a review of moderation or an appeal

Following the issue of results, awarding bodies make post-results services available. Full details of these services, internal deadlines for requesting a service and fees charged are provided by the exams officer.

Candidates are also informed of the arrangements for post-results services before they sit any exams and the accessibility of senior members of centre staff immediately after the publication of results via the Exams Handbook and directly by information provided on their Results Statement.

If the centre or a candidate (or his/her parent/carer) has a concern and believes a result may not be accurate, post results services may be considered.

The JCQ post-results services currently available are detailed below.

Reviews of Results (RoRs):

- Service 1 (Clerical re-check)
- Service 2 (Review of marking)
- Service 3 (Review of moderation) This service is not available to an individual candidate

Access to Scripts (ATS):

- Copies of scripts to support reviews of marking
- Copies of scripts to support teaching and learning

Where a concern is expressed that a particular result may not be accurate, the centre will look at the marks awarded for each component part of the qualification alongside any mark schemes, relevant result reports, grade boundary information etc. when made available by the awarding body to determine if the centre supports any concerns.

For written components that contributed to the final result, the College will:

- 1. Consider accessing the script by:
 - a) (where the service is made available by the awarding body) requesting a priority copy of the candidate's script to support a review of marking by the awarding body deadline or
 - b) (where the option is made available by the awarding body) viewing the candidate's marked script online to consider if requesting a review of marking is appropriate
- 2. Collect informed written consent/permission from the candidate to access his/her script
- 3. On access to the script, consider if it is felt that the agreed mark scheme has been applied correctly in the original marking and if the centre considers there are any errors in the marking
- 4. Support a request for the appropriate RoR service (clerical re-check or review of marking) if any error is identified
- 5. Collect informed written consent from the candidate to request the RoR service before the request is submitted
- 6. Where relevant, advise an affected candidate to inform any third party (such as a university or college) that a review of marking has been submitted to an awarding body

Written candidate consent (informed consent via candidate email is acceptable) is required in all cases before a request for a RoR service 1 or 2 is submitted to the awarding body. Consent is required to confirm the candidate understands that the final subject grade and/or mark awarded following a clerical re-check or a review of marking, and any subsequent appeal, may be lower than, higher than, or the same as the result which was originally awarded. Candidate consent must only be collected after the publication of results.

For any moderated components that contributed to the final result, the centre will:

- Confirm that a review of moderation cannot be undertaken on the work of an individual candidate or the work of candidates not in the original sample submitted for moderation
- Consult the moderator's report/feedback to identify any issues raised
- Determine if the centre's internally assessed marks have been accepted without change by the awarding body – if this is the case, a RoR service 3 (Review of moderation) will not be available
- Determine if there are any grounds to submit a request for a review of moderation for the work of candidates in the original sample

Where a candidate disagrees with a centre decision not to support a clerical re-check, a review of marking or a review of moderation, the centre will:

- For a review of marking (RoR priority service 2), advise the candidate they may request the review by providing informed written consent (and the required fee) for this service to the centre by the deadline set by the centre
- For a review of marking (RoR service 1 or 2), first advise the candidate to access their script to support a review of marking by providing written permission for the centre to access the script (and any required fee) for this service to the centre to submit this request
- After accessing the script to consider the marking, inform the candidate that if a request for a review of marking (RoR service 1 or 2) is required, this must be submitted by the deadline set

- by the centre by providing informed written consent (and the required fee) for this service to the centre to submit this request
- Inform the candidate that a review of moderation (RoR service 3) cannot be requested for the work of an individual candidate or the work of a candidate not in the original sample.

If the candidate (or his/her parent/carer) believes there are grounds to appeal against the centre's decision not to support a review of results, an internal appeal can be submitted to the centre by completing the internal appeals form at least 5 calendar days prior to the internal deadline for submitting a request for a review of results.

The appellant will be informed of the outcome of his/her appeal before the internal deadline for submitting a RoR.

Following the RoR outcome, an external appeals process is available if the head of centre remains dissatisfied with the outcome and believes there are grounds for appeal. The JCQ publications Post-Results Services and JCQ Appeals Booklet (*A guide to the awarding bodies' appeals processes*) will be consulted to determine the acceptable grounds for a preliminary appeal.

Where the head of centre is satisfied after receiving the RoR outcome, but the candidate (or his/her parent/carer) believes there are grounds for a preliminary appeal to the awarding body, a further internal appeal may be made to the head of centre. Following this, the head of centre's decision as to whether to proceed with a preliminary appeal will be based upon the acceptable grounds as detailed in the *JCQ Appeals Booklet*. Candidates or parents/carers are not permitted to make direct representations to an awarding body.

The internal appeals form should be completed and submitted to the centre within 10 calendar days of the notification of the outcome of the RoR. Subject to the head of centre's decision, this will allow the centre to process the preliminary appeal and submit to the awarding body within the required 30 calendar days of receiving the outcome of the review of results process. Awarding body fees which may be charged for the preliminary appeal must be paid to the centre by the appellant before the preliminary appeal is submitted to the awarding body (details of fees are available from the exams officer). If the appeal is upheld by the awarding body, this fee will be refunded by the awarding body and repaid to the appellant by the centre.

3. Communication with candidates and their parents/carers

On an annual basis, the College will publish on its website an updated appeals procedures with key dates for that year. Teachers will direct students towards this document when centre assessed marks are made available. The document will provide a timeline of events detailing the responsibilities of the candidate and of the College so that a review of marking can take place in advance of the awarding organisation deadlines.

Internal Appeals Form

This form should be completed in all cases to lodge an appeal.

Please tick to indicate the nature of your appeal and complete all white boxes in the table below:

FOR CENTRE USE ONLY		
Date received		
Reference No.		

 Appeal against an internal assessment decision and/or request for a review of marking Appeal against the centre's decision not to support a clerical check, a review of marking, a review of moderation or an appeal 		
Name of appellant	Candidate name if different to appellant	
Awarding body	Exam paper code	
Subject	Exam paper title	
Please state the grounds for	your appearation.	
(If applicable, tick below)		
If necessary, continue on an additional page if this form is being completed electronically or overleaf if hard copy being completed. Appellant Signature: Date of signature:		

This form must be signed, dated and returned to the exams officer on behalf of the head of centre to the timescale indicated in the relevant appeals procedure

Complaints and appeals log

On receipt, all appeals will be logged.

The outcome of any reviews of the centre's marking will be made known to the head of centre and will be logged as a complaint. A written record will be kept and made available to the awarding body upon request. Should the review of the centre's marking bring any irregularity in procedures to light, the awarding body will be informed immediately.

Ref No.	Date received	Complaint or Appeal	Outcome	Outcome date